

Form PTO-1390

P21620.P01

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

P21620

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/926358

INTERNATIONAL APPLICATION NO.

PCT/JP00/02596

INTERNATIONAL FILING DATE

20 April 2000

PRIORITY DATE CLAIMED

23 April 1999

TITLE OF INVENTION

LIPOSOME BONDED WITH ANTIBODY AND POLYALKYLENE GLYCOL

APPLICANT(S) FOR DO/EO/US

Toshiaki TAGAWA and Saiko HOSOKAWA

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to promptly begin national examination procedures (35 U.S.C. 371(f)).
4. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☒ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ An English language translation of the International Application as filed (35 U.S.C. 371 (c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
"Unexecuted"
10. ☐ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (U.S.C. 371(c)(5)).

Items 11 to 16 below concern other document(s) or information included:

11. Assignee: mitsubishi chemical corporation of Tokyo, JAPAN
12. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
13. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
14. ☒ A FIRST preliminary amendment.
☐ A SECOND or SUBSEQUENT preliminary amendment.
15. ☐ A substitute specification.
16. ☐ A change of power of attorney and/or address letter.
17. ☐ Figure of Drawing to be published _____
18. ☒ Other items or information:
Cover Sheet and International Application as published in Japanese.
PCT/RO/101-PCT Request(in Japanese).
PCT/IPEA/408(in Japanese).
PCT/IPEA/409.
PCT/IB/301.
PCT/IB/304.
PCT/IB/308.
PCT/IB/332.
PCT/IB/338.
PCT/ISA/210(in English and Japanese).
Cover Letter under 35 USC 371 and 1.495.
Claim of Priority.

U.S. APPLICATION NO. (If known, see 37 CFR 1.5)

09/926358

INTERNATIONAL APPLICATION NO.
PCT/JP00/02596

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19. The following fees are submitted:

Basic National Fee (37 CFR 1.492(a)(1)-(5)):

Search report has been prepared by the EPO or JPO. \$ 890.00

International preliminary examination fee paid to USPTO (37 CFR 1.482). \$ 710.00

No international preliminary examination fee paid to USPTO (37 CFR 1.482) but international search fee paid to USPTO(37 CFR 1.445(a)(2)). \$ 740.00

Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2) paid to USPTO. \$1,040.00

International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2)-(4). \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$890.00

Surcharge of \$130.00 for furnishing the oath or declaration later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$

Claims	Number Filed	Number Extra	RATE		
Total Claims	28 - 20 =	8	X \$18.00	\$144.00	
Independent Claims	3 - 3 =	0	X \$84.00	\$0.00	
Multiple dependent claim(s) (if applicable)			+ \$280.00	\$0.00	

TOTAL OF ABOVE CALCULATIONS = \$1034.00

Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.

\$

SUBTOTAL = \$1034.00

Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest claimed priority date (37 CFR 1.492(f)).

+

Extension of Time fee in the amount of \$

TOTAL NATIONAL FEE = \$1034.00

Fee for recording the enclosed assignment (37 CFR 1.21(h). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property

+

TOTAL FEES ENCLOSED = \$1034.00

Amount to be refunded \$

Charged \$

a. ☒ A check in the amount of \$1034.00 to cover the above fees is enclosed.

b. ☐ Please charge my Deposit Account No. in the amount of \$ to cover the above fees.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-0089.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO CUSTOMER NO. 7055

AT THE PRESENT ADDRESS OF:
Bruce H. Bernstein
GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, VA 20191
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007055

PATENT AND TRADEMARK OFFICE

Leslie M. Paperna Reg. 16
SIGNATURE
Bruce H. Bernstein 33,329
NAME

29,027
REGISTRATION NUMBER

U9/ 926358

P21620.P03

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :T. TAGAWA et al.

Appl No. :Not Yet Assigned

PCT Branch

I.A. Filed :April 20, 2000

PCT/JP00/02596

For :LIPOSOME BONDED WITH ANTIBODY AND POLYALKYLENE GLYCOL

CLAIM OF PRIORITY

Commissioner of Patents and Trademarks

Washington, D.C. 20231

Sir:

Applicant hereby claims the right of priority granted pursuant to 35 U.S.C. 119 based upon Japanese Application Nos. 11-115737, filed April 23, 1999 and 11-115738, filed April 23, 1999. The International Bureau already should have sent certified copies of the Japanese applications to the United States designated office. If the certified copies have not arrived, please contact the undersigned.

Respectfully submitted,
T. TAGAWA et al.

Leslie J. Bernstein Reg 16
Bruce H. Bernstein 33,329
Reg. No. 29,027

October 22, 2001
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P21620.P02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant :T. TAGAWA et al.

Appl. No :Not Yet Assigned
(U.S. National Phase of PCT/JP00/02596)

I.A.Filed:April 20, 2000

For : LIPOSOME BONDED WITH ANTIBODY AND POLYALKYLENE GLYCOL

**COVER LETTER ACCOMPANYING U.S. NATIONAL STAGE PATENT
APPLICATION FILED UNDER 35 U.S.C. 371
AND 37 C.F.R. 1.495**

Commissioner of Patents and Trademarks
Washington, D.C. 20231

Sir:

Enclosed is a new National Stage patent application for filing in the U.S. Patent and Trademark Office under 35 U.S.C. 371 and 37 C.F.R. 1.495. The Declaration and Power of Attorney attached thereto are in unexecuted form. A properly executed Declaration and Power of Attorney will be filed within the period of time set in a Notification to be mailed by the United States Patent and Trademark Office.

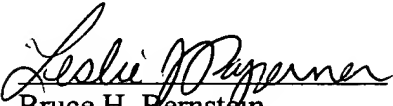
Related to this, a correspondence address is provided in
the unexecuted Declaration and Power of Attorney, and is as follows:

GREENBLUM & BERNSTEIN, P.L.C.
1941 Roland Clarke Place
Reston, Va. 20191

09/926358

If there any questions pertaining to this National Stage Application, please contact the undersigned.

Respectfully submitted,
T. TAGAWA et al.

 Reg. No.
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October 22, 2001
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